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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/597,466	05/30/2007	Anthony Michael Chandler	1821-02600	1516
23505	7590	11/06/2007	EXAMINER	
CONLEY ROSE, P.C.			CUTLIFF, YATE KAI RENE	
David A. Rose				
P. O. BOX 3267			ART UNIT	
HOUSTON, TX 77253-3267			1621	
			MAIL DATE	
			11/06/2007	
			DELIVERY MODE	
			PAPER	

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/597,466	CHANDLER ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	Yate' K. Cutliff	1621	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 26 July 2006.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-4 and 6-11 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4, and 6-11 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☒ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)            | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>08/22/2006, 03/02/2007</u> .                                  | 6) <input type="checkbox"/> Other: _____                          |

## DETAILED ACTION

### ***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

3. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

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4. Claims 1 and 6 – 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Prevost et al. (U.S. 5,707,673), Beaudoin et al. (WO 00/23546) and Macrides et al. (WO 97/09992).

Applicant claims, inter alia, a process for the extraction of lipids (including fatty acids) from animal solids comprising mixing said solids with a solvent capable of dissolving lipids therefrom to form a solvent extract, removing solvent from said extract by nanofiltration to produce a concentrated lipid extract and recovered solvent, and removing further solvent from the concentrated extract to leave extracted lipids, wherein the solvent is selected from the group consisting of acetone, hexane and ethyl acetate.

Prevost et al. discloses a process for extracting lipids from animal matter involving the steps of a) extracting with a solvent, b) filtering the extract by nanofiltration, followed by c) recovering solvent and an extract rich retentate, and d) the lipid rich retentate stream is further treated by conventional means to recover any remaining solvent. (see column 3, lines 62 – 67, & column 4, lines 1-5, and 9-21).

The Prevost reference fails to teach the claimed solvents (acetone, hexane and ethyl acetate), or the cut off for the nanofiltration material. However, Prevost et al. discloses that at the time of their invention hexane was the most commonly commercially used solvent in lipid extraction. (see column 2, line 1). Further, Prevost et al., at column 9 lines 1- 5, states that the pore size of the filtration membrane is dependant on the extractive and process.

Beaudoin et al. teaches a lipid extraction process that uses acetone and ethyl acetate to extract lipid material from marine and aquatic animals. (see page 5)

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Because each of the references teach methods for extracting lipids from animal material, it would have been obvious to one skilled in the art to substitute the solvent of Beaudoin et al. in the process of Prevost et al. to achieve the predictable result of extracting lipids from animal solids.

Therefore, the claims would have been obvious because the substitution of one known element for another would have yielded predictable results to one of ordinary skill in the art at the time of the invention. *KSR International Co. v. Teleflex Inc.*, 550 U.S. \_\_\_, 82 USPQ2d 1385 (U.S. 2007).

5. Claims 1 – 4 are rejected under 35 U.S.C. 103(a) as being unpatentable over Prevost et al. (U.S. 5,707,673), Beaudoin et al. (WO 00/23546) and Macrides et al. (WO 97/09992).

The instance claims further require the use of animal solids that are dried or freeze dried animal, and where the animal solid comes from green lipped mussel.

Prevost et al. and Beaudoin et al. fail to teach extraction of lipids from dried and/or freeze-dried animal solids, or with the animal solids coming from green lipped mussel. See the description of the references above in paragraph 4.

Macrides et al. discloses a process for extracting lipids from green lipped mussel, however, the process uses supercritical fluid extraction instead of solvent extraction.

Because the problem to be solved is to provide an improved extraction process for lipids; and Prevost et al. and Beaudoin et al. teach methods for extracting lipids from animal material using solvents, it would have been obvious to one skilled in the art to use green lipped mussel as taught by Macrides et al. in the solvent extraction process

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of Beaudoin et al. combined with Prevost et al. to achieve the predictable result of extracting lipids from animal solids.

Therefore, the claims would have been obvious because the substitution of one known element for another would have yielded predictable results to one of ordinary skill in the art at the time of the invention. *KSR International Co. v. Teleflex Inc.*, 550 U.S. \_\_\_, 82 USPQ2d 1385 (U.S. 2007).

### ***Conclusion***

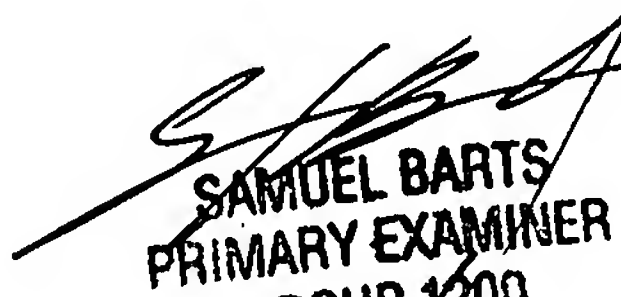
Any inquiry concerning this communication or earlier communications from the examiner should be directed to Yate' K. Cutliff whose telephone number is (571) 272-9067. The examiner can normally be reached on M-TH 8:30 a.m. - 5:00 p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Yvonne Eyler can be reached on (571) 272 - 0871. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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